
ERIA-UNCTAD Project on NTM: Call for Proposal

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Economic Research Institute for
ASEAN and East Asia



UNITED NATIONS
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Project Objective and Outputs

Background: Fragmented data on NTMs for East Asia

Objective

1. To collect, validate and store NTMs data from official sources; and
2. To improve general understanding of these measures and their impact on world trade.
3. To provide insights on NTMs in the country.

Project Outputs

1. NTM Database (publicly available; consultant work will be acknowledged)
2. A book report on NTM (ERIA-UNCTAD) of which country report by consultants will be a part

Project Team

Project team members

Project Coordinator: Lili Yan Ing

1. National consultants (6 East Asian countries)
2. Olivier Cadot, Lausanne University
3. Shujiro Urata, ERIA
4. Ralf Peters, UNCTAD
5. Santiago Cordoba, UNTAD
6. Denise Penello Rial, UNCTAD
7. Christian Knebel
8. Other economists

Consultant's Outputs and Tasks

Outputs

1. Output 1: Data on NTMs in country XXX implemented in 2015 (all specific regulations that are in effect and implemented in 2015 (in XL using a template provided by UNCTAD). All NTM data, including information from legislation (law or regulations) are in English.

- ▶ Output 1.1 (NTM data collection –first review of data validation)
- ▶ Output 1.2 (NTM data collection –second review of data validation)

2. Output 2: A descriptive analysis on NTMs in country XXX and policy recommendations on streamlining NTMs in the country (in XL, STATA and Word).

Tasks

- ▶ The consultant will aim at collecting all trade and other regulations which are and could be in the category of NTMs from official sources for country XXX in 2015, and classifying them according to UNCTAD's NTMs classification system using the Guidelines to collect NTMs and a data entry template provided by UNCTAD.
- ▶ Before embarking on the exercise, the selected consultant is expected to take an (i) on-line course on NTMs; and (ii) will be invited to a mandatory training on the latest data collection methodology for the identification, collection and classification of NTMs. The materials will be provided by ERIA-UNCTAD.

Timeframe

No	Activities	Timeline
1	On-line course (12 hour-online course)	Feb-Mar 2016
	Preliminary NTM collection of 30 regulations (the template will be provided by UNCTAD)	Mar 2016
2	First Workshop* (Tokyo)	13-15 Mar 2016
	Training on data collection	
	Discussion on preliminary NTM collection	
3	NTM collection	Mar-Sep 2016
4	Review of data collection	
	a. First review (NTM data collection validation)	May 2016
	a. Second review (NTM data collection validation)	Aug 2016
5	Final data collection and final report Final workshop on data validation	Oct 2016

*The workshop aims to provide a training for economist/technician/statistician who undertakes the work of the NTM data collection. The team leader should indicate two (2) team members/selected consultants from one team (or one country) and nominate one (1) government official from Ministry of Trade (or equivalent ministry) who works on NTM or Trade Facilitation. ERIA will cover the trip costs of the total of 3 (two consultants and one government official) from each country to attend the workshop.

Call for proposals

Proposal Submission (19 February 2016)

Proposal

1. The readiness of the team to take the work (+/– 1,500 words plus tables and figures about the existing NTMs in your country)
2. Proposed budget

CVs of consultants

1. CVs of consultant(s): team leader and team members. Please indicate the team members will be in charge in NTM data collection.
2. CV of one nominated government official (Ministry of Trade or equivalent ministry). One government official is expected to join the first workshop. The inclusion of a government official is an advantage.

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Please submit the proposal to:
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Appendix

1. How to Start?

1. Overview of the regulation at country level

- ▶ Understand the legal framework
- ▶ Identification of sources of NTM data
 - ▶ Comprehensive
 - ▶ cover all regulation that affects trade in all the chapters of the classification
 - ▶ As centralized as possible
 - ▶ ideal is just one source



Understand the legal framework

EXAMPLE

Components of the Japanese national legal framework (in the order or priority)
Understand the role of Ministries and other government bodies

Priority	English title	Japanese title	Description	Example
1	Constitution	憲法	Supreme law of the land	日本国憲法 Constitution of Japan
2	Law	法律	Rules established as a resolution of the Diet according to the Constitution	家畜伝染病予防法 Act on Domestic Animal Infectious Diseases Control
3	Cabinet Order	政令	Orders established by the Cabinet. It provides details in order to implement the law.	家畜伝染病予防法施行令 Order for Enforcement of the Act on Domestic Animal Infectious Diseases Control (Cabinet Order No. 269 of July 30, 2014)
4	Cabinet and Ministerial Ordinance	府令 省令	Cabinet Ordinance is an order issued by a Prime Minister as a head of the Cabinet whereas Ministerial Ordinance is an order issued by a minister. It provides further details in order to implement the law or the Cabinet Order.	家畜伝染病予防法施行規則 Ordinance for Enforcement of the Act on Domestic Animal Infectious Diseases Control (Ordinance of the Ministry of Agriculture, Forestry and Fisheries No.72 of December 6, 2013)
5	Notice	告示	(A set of) Information disclosed by a ministry or a municipality concerning a law or other matters.	家畜伝染病予防法施行規則第四十三条の表の農林水産大臣の定める基準 Notice of the Ministry of Agriculture, Forestry and Fisheries No.292 of March 8, 2001
	Instruction.	訓令	Instruction is an order issued by an administrative organ (the Cabinet and ministries) to a subordinate organ. Ministry orders are issued to subordinate bodies.	

2. Where to Start?

Identification of sources of NTM data

Comprehensive

I. Centralized

- ▶ US
 - ▶ Federal Register and Code of Federal regulations
- ▶ Latin American countries
 - ▶ Official Journal, Official Registry, Official Gazette
- ▶ European Union
 - ▶ Official Journal and Export Helpdesk
- ▶ Japan
 - ▶ Official Gazette (but not searchable as required)



Identification of sources of NTM data

Comprehensive

I. Centralized

- ▶ Specific regulations should be used for the data collection rather than general laws
- ▶ Rule of thumb: Can we identify all required information about the NTMs (detailed NTM code, affected product and countries)?
- ▶ Very general laws, often called Acts, may only establish a legal framework for further regulation
- ▶ The empowered institutions will determine and implement detailed regulations
- ▶ We need those detailed regulations



2. Decentralised

Decentralized sources when there is no single good source

**NTM data collection must ALWAYS
be based on Official/authoritative and mandatory requirements**

Table 1. Examples of government agencies likely to deal with NTM categories

	NTM chapter	Government bodies potentially responsible
A	SPS measures	Ministry of Agriculture; Standardization Agency; Ministry of Health
B	TBT measures	Standardization Agency; Ministry of Health; Ministry of Ecology; Ministry of Industry
C	Pre-shipment inspection and other formalities	Customs Agency; Standardization Agency
D	Contingent trade protective measures	Ministry of Finance; Ministry of Economy or Trade
E	Non-automatic licensing, quotas, prohibitions and other quantity control measures	Ministry of the Economy (or Trade, Foreign Relations)
F	Price control measures including additional taxes and charges	Ministry of Economy (or Trade, Foreign Relations); Customs Agency
G	Finance measures	Ministry of Finance; National Bank
H	Measures affecting competition	Ministry of Economy (or Trade, Foreign Relations)
I	Trade-related investment measures	Ministry of Economy (or Trade, Foreign Relations)
P	Export-related measures	Ministry of Economy (or Trade, Foreign Relations); Customs Agency

Decentralized sources

EXAMPLE - Japan

- ▶ Zeirom 2014 – Japanese Tariff Schedules data in a CD-ROM. It is used as a basis for the Japanese NTMs data collection. → Level 2.
- ▶ Ministry of Justice Online Database → Levels 3 to 5
 - ▶ i. Hourei Data Teikyou System 法令データ提供システム
<http://law.e-gov.go.jp/cgi-bin/idxsearch.cgi>
 - ▶ Covers Constitution, laws, Cabinet Orders, Cabinet and Ministerial Ordinances
 - ▶ Provides the latest versions of legislation, currently in force
 - ▶ Various search options: By terms (either in a title or in text), title, alphabetical index, category, or law number

3. How to compile?

After and 2, go to step 3 and 4
3. NTM coding
4. HS coding

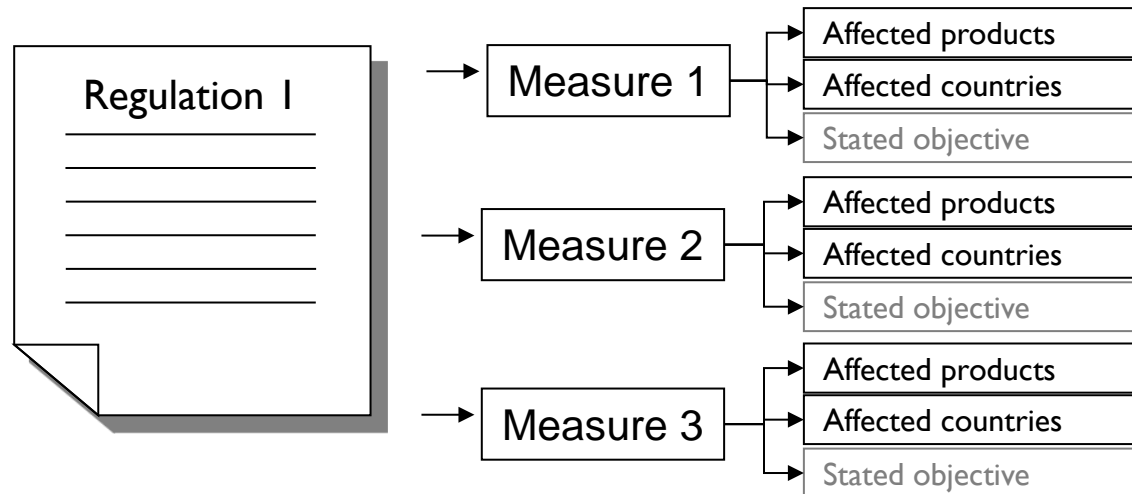


3. NTM coding

► I. The “regulations” bear “measures”

Regulation ➡ Law, decree, etc, issued officially by a government

Measure ➡ A "regulation" may bear more than one measure



1. GUIDELINES TO COLLECT DATA ON OFFICIAL NON-TARIFF MEASURES (SEPTEMBER 2014 VERSION)
2. NTM Classification (MAST Classification Feb 2012)

On-line training course

Please kindly find link video of the modules and materials of Non-Tariffs Measures:

<http://vi.unctad.org/video/ntm/m0/player.html>

<http://vi.unctad.org/video/ntm/m1/player.html>

<http://vi.unctad.org/video/ntm/m2/player.html>

<http://vi.unctad.org/video/ntm/m3/player.html>

<http://vi.unctad.org/video/ntm/m4/player.html>

<http://vi.unctad.org/video/ntm/m5/player.html>